

# SOME PROPERTIES ON THE DETERMINISTIC FINITE AUTOMATA

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**ABSTRACT:** Let  $A = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite automata, the purpose of this study is to determine some conditions on the transition function of  $A$  that ensure the existence of some properties. We give also a specific equivalence relation on set  $Q$ .

**KEYWORDS:** free monoid, deterministic finite automata, morphism of monoids, equivalence relation.

## 1. INTRODUCTION

Let  $\Sigma$  be a nonempty set of symbols called an alphabet. Any finite string over  $\Sigma$  is called a word over  $\Sigma$ .

The empty word, that is the word contains no letter, will be denoted by  $\epsilon$ . Let  $\Sigma^*$  be the set of all words over  $\Sigma$ . If define the operation of two words  $x$  and  $y$  of  $\Sigma^*$  by juxtaposition, sometimes call it concatenation, then  $\Sigma^*$  is a semigroup with the identity  $\epsilon$ . And hence  $\Sigma^*$  is a monoid. We call  $\Sigma^*$  the free monoid generated by  $\Sigma$ , see [Suy79]. A deterministic finite automata (DFA) is a quintuple  $A = (Q, \Sigma, \delta, q_0, F)$ , where

- $Q$  is a finite set called the set of states,
- $\Sigma$  is a finite set called the input alphabet,
- $q_0 \in Q$ , called the initial state,
- $F \subseteq Q$ , called the set of final states, and
- $\delta: Q \times \Sigma \rightarrow Q$  is a function called the transition function, see [6].

The remainder of this paper is organized as follows. In Section 2, some mathematical preliminaries. In Section 3, the purpose of this study is to determine some conditions on the transition function of  $A$  that ensure the existence of some properties. We give also a specific equivalence relation on set  $Q$ . Finally, we draw our conclusions in Section 4.

## 2. PRELIMINARIES

A monoid is a set  $M$  equipped with an associative product  $x, y \mapsto xy$ , together with a (left and right) unit 1. In the commutative case, it is common to use the additive notation:  $x + y$  instead of  $xy$  and  $0$

instead of 1, see [Bil14, MS14]. If  $X \subset M$ , we write  $X^*$  for the submonoid of  $M$  generated by  $X$ , that is the set of finite products  $x_1 x_2 \dots x_n$  with  $x_1, x_2, \dots, x_n \in X$ , including the empty product 1. It is the smallest submonoid of  $M$  containing  $X$ , see [Bil14, MS14]. Let  $\Sigma$  be a set, with we call an alphabet. A word  $w$  on the alphabet  $\Sigma$  is a finite sequence of elements of  $\Sigma$ ,  $w = (a_1, a_2, \dots, a_n)$   $a_i \in \Sigma, 1 \leq i \leq n$ . The set of all words on the alphabet  $\Sigma$  is denoted by  $\Sigma^*$  and is equipped with the associative operation defined by the concatenation of two sequences

$$(a_1, a_2, \dots, a_n)(b_1, b_2, \dots, b_m) = (a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_m)$$

This operation is associative. This allows us to write  $w = a_1 a_2 \dots a_n$ . The string consisting of zero letters is called the empty word, written  $\epsilon$ . Thus,  $\epsilon, 0, 1, 011, 1111$  are words over the alphabet  $\{0, 1\}$ . The set  $\Sigma^*$  of words is equipped with the structure of a monoid. The monoid  $\Sigma^*$  is called the free monoid on  $\Sigma$ .

The reverse of a word  $w = a_1 a_2 \dots a_n$ , is  $w^{-1} = a_n a_{n-1} \dots a_1$ .

Note that for all  $u, v \in \Sigma^*, (uv)^{-1} = v^{-1} u^{-1}$ . The length of a word  $u$ , in symbols  $|u|$ , is the number of letters in  $u$  when each letter is counted as many times as it occurs. Again by definition,  $|\epsilon| = 0$ . The length function possesses some of the formal properties of Logarithm:  $|uv| = |u| + |v|, |u^i| = i|u|$ , for any words  $u$  and  $v$  and integers  $i \geq 0$ . For example  $|011| = 3$  and  $|1111| = 4$ . For a subset  $B$  of  $\Sigma$ , we let  $|w|_B$  denote the number of letters of  $w$  which are in

$$|w|_B = \sum_{a \in \Sigma} |w|_a$$

$B$ . Thus  $|w| = \sum_{a \in \Sigma} |w|_a$ . A language  $L$  over  $\Sigma^*$  is any subset of  $\Sigma^*, i.e., L \subseteq \Sigma^*$ .

A mapping  $h: \Sigma^* \rightarrow \Delta^*$ , where  $\Sigma$  and  $\Delta$  are alphabets, satisfying the condition  $h(uv) = h(u)h(v)$ , for all words  $u$  and  $v$  of  $\Sigma^*$

is called a morphism, define a morphism  $h$ , it suffices to list all the words  $h(a)$ , where  $a$  ranges over all the (finitely many) letters of  $\Sigma$ . If  $M$  is a monoid, then any mapping  $f: \Sigma \rightarrow M$  extends to a unique morphism  $\tilde{f}: \Sigma^* \rightarrow M$ . For instance, if  $M$  is the additive monoid  $\mathbb{N}$ , and  $f$  is defined by  $f(a) = 1$  for each  $a \in \Sigma$ , then  $\tilde{f}(u)$  is the length  $|u|$  of the word  $u$ .

A binary relation on  $\Sigma^*$  is a subset  $R \subseteq \Sigma^* \times \Sigma^*$ . If  $(x, y) \in R$ , we say that  $x$  is related to  $y$  by  $R$ , denoted  $xRy$ . A binary relation  $R$  on a set  $\Sigma^*$  is said to be

- Reflexive if  $xRx$  for all  $x$  in  $\Sigma^*$ ;
- Symmetric if  $xRy$  implies  $yRx$ ;
- Transitive if  $xRy$  and  $yRz$  imply  $xRz$ .

The relation  $R$  is called an equivalence relation if it is reflexive, symmetric, and transitive. And in this case, if  $xRy$ , we say that  $x$  and  $y$  are equivalent.

The set of all equivalence classes is denoted by  $\Sigma^*/R$  and is called the quotient of  $\Sigma^*$  mod  $R$ , see [Mar05].

A deterministic finite automata (DFA) is a quintuple  $A = (Q, \Sigma, \delta, q_0, F)$ , where

- $Q$  is a finite set called the set of states,
- $\Sigma$  is a finite set called the input alphabet,
- $q_0 \in Q$ , called the initial state,
- $F \subseteq Q$ , called the set of final states, and
- $\delta: Q \times \Sigma \rightarrow Q$  is a function called the transition function.

The extended transition function  $\tilde{\delta}: Q \times \Sigma^* \rightarrow Q$  is defined recursively as follows: for all  $q \in Q$ ,  $w \in \Sigma^*$  and  $a \in \Sigma$ ,  $\tilde{\delta}(q, \varepsilon) = q$  and  $\tilde{\delta}(q, wa) = \delta(\tilde{\delta}(q, w), a)$ .

### 3. SOME PROPERTIES ON THE DETERMINISTIC FINITE AUTOMATA

In this section we determine some conditions on the transition of a deterministic finite automata that ensure the existence of some properties.

#### Proposition 3.1

Let  $A = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite automata, we have,

1. For all  $q \in Q$ , the set  $\text{Stab}(q) = \{w \in \Sigma^* : \tilde{\delta}(q, w) = q\}$  is a submonoid of free monoid  $(\Sigma^*, \cdot, \varepsilon)$ .

2. For all  $q_1 \in Q$ ,  $q_2 \in Q$ ,  $w \in \Sigma^*$ , if  $\tilde{\delta}(q_1, w) = q_2$  and  $\tilde{\delta}(q_2, w^{-1}) = q_1$ , then,

- $w\text{Stab}(q_2)w^{-1} \subseteq \text{Stab}(q_1)$ .
- $u \in \text{Stab}(q_1) \Rightarrow w^{-1}uw \in \text{Stab}(q_2)$ .

3. For all  $q \in Q$ , the relation  $R_q$  defined over  $\Sigma^*$  by:  $uR_qv \Leftrightarrow \text{Stab}(q)u = \text{Stab}(q)v$  is an equivalence relation.

4.  $uR_qv \Leftrightarrow \exists x, y \in \text{Stab}(q): u = xv$  and  $v = yu$ .

5. The mapping  $\psi: \Sigma^*/R_q \rightarrow O_q$ ,  $\bar{u} \mapsto \tilde{\delta}(q, u)$  is well defined, where  $O_q = \{\tilde{\delta}(q, u), u \in \Sigma^*\}$ , and  $\bar{u}$  the equivalence class of  $u$  with respect to  $R_q$ .

#### Proof

1. Let  $q \in Q$ , we have  $\varepsilon \in \text{Stab}(q)$  because  $\tilde{\delta}(q, \varepsilon) = q$ . Furthermore if  $u, v \in \text{Stab}(q)$ , i.e.,

$\tilde{\delta}(q, u) = q$  and  $\tilde{\delta}(q, v) = q$ , then  $\tilde{\delta}(q, uv) = \tilde{\delta}(\tilde{\delta}(q, u), v) = \tilde{\delta}(q, v) = q$ , then  $uv \in \text{Stab}(q)$ , therefore  $\text{Stab}(q)$  is a submonoid of free monoid  $(\Sigma^*, \cdot, \varepsilon)$ .

2. Let  $u \in w\text{Stab}(q_2)w^{-1}$ , i.e., there exists  $x \in \text{Stab}(q_2)$  such that  $u = wxw^{-1}$ , we have

$$\begin{aligned} \tilde{\delta}(q_1, u) &= \tilde{\delta}(q_1, wxw^{-1}) = \tilde{\delta}(\tilde{\delta}(q_1, w), xw^{-1}) = \tilde{\delta}(q_2, xw^{-1}) \\ &= \tilde{\delta}(\tilde{\delta}(q_2, x), w^{-1}) = \tilde{\delta}(q_2, w^{-1}) = q_1, \text{ then } \\ &u \in \text{Stab}(q_1). \end{aligned}$$

Let  $u \in \text{Stab}(q_1)$ , i.e.,  $\tilde{\delta}(q_1, u) = q_1$ , we have,

$$\begin{aligned} \tilde{\delta}(q_2, w^{-1}uw) &= \tilde{\delta}(\tilde{\delta}(q_2, w^{-1}), uw) = \tilde{\delta}(q_1, uw) \\ &= \tilde{\delta}(\tilde{\delta}(q_1, u), w) = \tilde{\delta}(q_1, w) = q_2, \text{ then } \\ &w^{-1}uw \in \text{Stab}(q_2). \end{aligned}$$

3. Let  $q \in Q$ , we have for all  $u \in \Sigma^*$ ,  $\text{Stab}(q)u = \text{Stab}(q)u$ , then  $R_q$  is reflexive.

The relation  $R_q$  is symmetric because for all  $u, v \in \Sigma^*$ , we have,  $\text{Stab}(q)u = \text{Stab}(q)v$  implies  $\text{Stab}(q)v = \text{Stab}(q)u$ . Finally  $R_q$  is

transitive because for all  $u, v, t \in \Sigma^*$  we have,

If  $\text{Stab}(q)u = \text{Stab}(q)v$  and  $\text{Stab}(q)v = \text{Stab}(q)t$ , then  $\text{Stab}(q)u = \text{Stab}(q)t$ .

4. If  $uR_qv$ , then  $\text{Stab}(q)u = \text{Stab}(q)v$ , we have  $u \in \text{Stab}(q)u$  because  $u = \varepsilon u$  and

$\varepsilon \in \text{Stab}(q)$  , since  
 $\text{Stab}(q)u = \text{Stab}(q)v$  , then there exists  
 $x \in \text{Stab}(q)$  such that  $u = xv$ . A similar  
argument show that there exists  
 $y \in \text{Stab}(q)$  such that  $v = yu$ .

And there exists  
 $x, y \in \text{Stab}(q): u = xv \text{ and } v = yu$  , we  
show that  $\text{Stab}(q)u = \text{Stab}(q)v$  ,

let  $w \in \text{Stab}(q)u$  , then there exists  
 $\alpha \in \text{Stab}(q)$  such that  $w = \alpha u$  , since  
 $u = xv$  , then

$w = \alpha(xv) = (\alpha x)v$  , we have  $\alpha x \in \text{Stab}(q)$  ,  
then  $w \in \text{Stab}(q)v$  , finally  
 $\text{Stab}(q)u \subseteq \text{Stab}(q)v$  .

A similar argument shows that  
 $\text{Stab}(q)v \subseteq \text{Stab}(q)u$  . Therefore  
 $\text{Stab}(q)u = \text{Stab}(q)v$  .

5. It suffices we show that for all  
 $\bar{u}, \bar{v} \in \Sigma^*/R_q : \bar{u} = \bar{v} \Rightarrow \check{\delta}(q, u) = \check{\delta}(q, v)$  .

If  $\bar{u} = \bar{v}$  , then there exists  
 $x, y \in \text{Stab}(q): u = xv \text{ and } v = yu$  , we  
have,

$$\check{\delta}(q, u) = \check{\delta}(q, xv) = \check{\delta}(\check{\delta}(q, x), v) = \check{\delta}(q, v)$$

**Example 3.2**

Let  $A = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite  
automata, with  $Q = \{q_1, q_2, q_3, q_4\}$  ,  $\Sigma = \{0,1\}$  ,  
 $q_0 = q_1$  ,  
 $F = \{q_1\}$  ,  
 $\delta(q_1, 1) = q_2$  ,  
 $\delta(q_1, 1) = q_2, \delta(q_2, 0) = q_3, \delta(q_3, 0) = q_4, \delta(q_4, 1) = q_1$  .

We have  $\text{Stab}(q_1) = (1001)^*$  ,  $\text{Stab}(q_2) = (0110)^*$   
And  
 $(10) \text{Stab}(q_2)(01) = (10)(0110)^*(01) \subseteq \text{Stab}(q_1)$  .

In following proposition, we define an equivalence  
relation on the set of states of a deterministic finite  
automata.

**Proposition 3.3**

Let  $A = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite  
automata, the relation  $R$  on  $Q$  defined by:

$$q_1 R q_2 \Leftrightarrow \exists w \in \Sigma^* : \check{\delta}(q_1, w) = q_2 \text{ and } \check{\delta}(q_2, w^{-1}) = q_1$$

is an equivalence relation.

**Proof**

- For each  $q_1 \in Q$  , there exists  $\varepsilon \in \Sigma^*$  such  
that  $\check{\delta}(q_1, \varepsilon) = q_1$  , then by definition of  
 $R$  ,  $q_1 R q_1$  , hence  $R$  is reflexive.

- If  $q_1 R q_2$  , then there exists  $w \in \Sigma^* : \check{\delta}(q_1, w) = q_2$  and  $\check{\delta}(q_2, w^{-1}) = q_1$  , then

$\check{\delta}(q_2, w^{-1}) = q_1$   
and  $\check{\delta}(q_1, w) = q_2 = \check{\delta}(q_1, (w^{-1})^{-1}) = q_2$  . By  
definition of  $R$  ,  $q_2 R q_1$  . Thus  $R$  is symmetric.

- If  $q_1 R q_2$  and  $q_2 R q_3$  , then there exists  
 $w_1, w_2 \in \Sigma^* : \check{\delta}(q_1, w_1) = q_2$  and  $\check{\delta}(q_2, w_1^{-1}) = q_1$   
and  $\check{\delta}(q_2, w_2) = q_3$  and  $\check{\delta}(q_3, w_2^{-1}) = q_2$  .

We have  $\check{\delta}(q_1, w_1 w_2) = q_3$  and  
 $\check{\delta}(q_3, (w_1 w_2)^{-1}) = q_1$  . Thus  $q_1 R q_3$  . Therefore  $R$   
is transitive.

**Example 3.4**

Let  $A = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite  
automata, with  $Q = \{q_1, q_2, q_3, q_4\}$  ,  $\Sigma = \{0,1\}$  ,  
 $q_0 = q_1$  ,  
 $F = \{q_1\}$  ,  
 $\delta(q_{1,1}) = q_2$  ,  
 $\delta(q_{1,1}) = q_2, \delta(q_{2,1}) = q_3, \delta(q_{3,1}) = q_4, \delta(q_{4,1}) = q_1$  .

We have  $\check{\delta}(q_1, 11) = q_3$  and  $\check{\delta}(q_3, 11) = q_1$  , then  
 $q_1 R q_3$  .

**4. CONCLUSION**

In this paper, we have determined some conditions  
on the transition function of  $A$  that ensure the  
existence of some properties. We give also a specific  
equivalence relation on set  $Q$  .

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TRIBISCUSS